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Ultrastable laser interferometry for earthquake detection with terrestrial and submarine cables / Marra, Giuseppe; Clivati, Cecilia; Lockett, Richard; Tampellini, Anna; Kronjäger, Jochen; Wright, Louise; Mura, Alberto; Levi, Filippo; Robinson, Stephen; Xuereb, André; Baptie, Brian; Calonico, Davide. - In: SCIENCE. - ISSN 0036-8075. - 361:6401(2018), p. 486-490. [10.1126/science.aat4458]

Availability:

This version is available at: 11696/59747 since: 2021-02-10T11:11:46Z

Publisher:

AAAS

Published

DOI:10.1126/science.aat4458

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12 May 2022

Title: Ultra-stable laser interferometry for earthquake detection with terrestrial and submarine optical cables

Short title: Submarine optical cables for earthquake detection

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One sentence summary: We detected earthquakes on the sea floor measuring seismically-induced optical changes in ordinary submarine telecommunications cables.

Abstract: Detecting ocean-floor seismic activity is crucial for our understanding of the interior structure and dynamic behavior of the Earth. However, 70% of the planet's surface is covered by water and seismometers coverage is limited to a handful of permanent ocean bottom stations. We show that existing telecommunication optical fiber cables can detect seismic events when combined with state-of-the-art frequency metrology techniques by using the fiber itself as the sensing element. We detected earthquakes over terrestrial and submarine links with length ranging from 75 to 535 km and a geographical distance from the earthquake's epicenter ranging from 25 to 18,500 km. Implementing a global seismic network for real-time detection of underwater earthquakes requires applying the proposed technique to the existing extensive submarine optical fiber network.

Main text:

Whilst 70% of the Earth's surface is covered with water almost all seismic stations are on land.

Underwater earthquakes of small intensity (moment magnitude $M_w < 4$) remain largely undetected as they are too weak to be measured by land-based seismic networks. This limits our ability to identify the source mechanisms of underwater seismic events and our understanding of the internal structure of the Earth.

Underwater seismic sensors, such as Ocean Bottom Seismometers (OBS), have been widely used to study the physics of the Earth (1), from earthquake dynamics to changes in volcanic structure (2), magma generation and mid-ocean ridge development (3). These devices are deployed over geographically limited areas for temporary surveys with data retrieved at the end of the campaign (4-6). Japan, U.S.A. and Canada, have installed permanent arrays of OBSs close to earthquake-prone areas for research purposes and as tsunami alert systems (7-10). However, a permanent array of wired OBSs large enough to cover the Earth's waters would be extremely expensive to

install. Several more affordable solutions have been proposed (11,12), including potentially adding sensors in future submarine telecommunication repeaters (13). However, the existing submarine telecommunication network itself is a very attractive option for a global, real-time seismic network if the fiber itself is used as the sensing element. Such a fiber-based network should complement existing land-based seismometer and OBS networks, extending the coverage of underwater earthquakes monitoring.

Submarine optical fiber cables are the backbone of international and intercontinental telecommunication. Since the first installations in the 1990s, the number of links has increased exponentially due to growth in the Internet and mobile services. The current total length of submarine fiber cables is over 1 million km. In 2016 alone approximately 100,000 km of cable were added to the existing network and another 200,000 km are planned by mid-2018 (14) (Fig. 1A). Optical fibers can detect seismic events over km-scale links using distributed acoustic sensing techniques (DAS) (15,16). The techniques were developed by the oil and gas industry where they are currently primarily in use. DAS systems use back-scatter of the injected optical signal to extract information about local perturbations along the fiber. Because of the non-zero optical losses of the fiber, the signal-to-noise ratio of the returned signal decreases with the travel distance, currently limiting the usable range of this technology to less than 100 km. The feasibility of extending the DAS range to 1000s of km by using optical amplifiers along the link is yet to be demonstrated. Frequency metrology interferometric techniques can overcome the limitations of DAS. These techniques were initially developed by National Metrology Institutes (NMI) for the comparison of next-generation atomic clocks. Metrological optical links up to 2,200 km long already connect some of the largest NMIs in Europe and network expansion is underway (17-20). Fiber links are usually installed in underground utility ducts, such as power or gas lines, or along motorways and are thus exposed to environmental noise. The induced noise is detrimental to

atomic clock comparisons and suppressed using active cancellation techniques (21). However, we can exploit the sensitivity to environmentally-induced perturbations to detect seismic waves, vibration, and any other sources of other acoustic noise. We can measure changes as small as a few femtoseconds in the propagation delay experienced by the laser light travelling in the fiber with these interferometric techniques. This corresponds to $\sim\mu\text{m}$ length changes that can be measured over lengths of fiber up to several thousands of km. We achieve this level of sensitivity in just 1 s of measurement time using laser stabilized to state-of-the-art Fabry-Pérot cavities made of ultra-low expansion (ULE) glass (22). Metrology-grade lasers generate phase-stable light over the entire propagation time through the fiber, which ensures propagation time changes are attributed exclusively to the fiber.

Our experiments use light from a ULE-cavity stabilized laser which we have injected at one end of a standard terrestrial or submarine optical link that consists of a fiber pair, one fiber used for each direction of propagation (Fig. 1B). The two fibers are connected at the far end of the optical link to form a loop such that the light returns to the transmitter after a round trip. We combined the injected and returned optical signals on a photodetector and measured their phase difference. The seismically-induced phase changes of the returned optical signal detect local and remote earthquakes.

On 24th August 2016 an earthquake of moment magnitude (Mw) 6.0 struck in Central Italy, followed by two more events of Mw 5.9 and Mw 6.5 on 26th and 30th October (23). These events were detected at the National Physical Laboratory (NPL) in Teddington (UK) whilst running frequency metrology experiments on an optical fiber link not intentionally designed to detect seismic waves. This 79 km-long fiber link (UK-L1) connects the National Physical Laboratory in Teddington (UK) to a data center in the nearby town of Reading and is located at a geographical

distance of approximately 1,400 km from the epicenter of the Central Italy earthquake. The phase fluctuations induced by the seismic event on the laser light propagating in the fiber link for the 30th October event are shown in Fig. 2A and compared to data from a seismic station (Swindon, GB.SWN1) located approximately 100 km away from the NPL end of the fiber link. The low sampling rate (1 sample/s) prevented us from constraining the magnitude of the primary wave (P-wave) that has a frequency spectrum extending to a few Hz. We detected several other teleseismic events with independently determined magnitudes of Mw 5.9 to 7.9 with epicenters in New Zealand, Japan and Mexico. We achieved a higher signal-to-noise ratio on another 75 km-long optical link (UK-L2) in South-East England in late 2017. This link runs almost entirely in non-metropolitan areas, which resulted in lower environmentally-induced noise levels as compared to UK-L1. At the same time, the Istituto Nazionale di Ricerca Metrologica (INRIM) in Turin (Italy) established a 535 km link (IT-L1) between Turin and Medicina near the town of Bologna. The optical phase sampling rate for UK-L2 and IT-L1 was 100 samples/s. On 12th November 2017 we detected the Mw 7.3 earthquake on the Iraq-Iran border with both UK-L2 and IT-L1 links (Fig. 2B). We determined the arrival times for the P and S waves using the UK-L2 link, which were consistent with the first arrivals identified using the nearby seismic station at Herstmonceux (GB.HMNX). Periodic environmental perturbations in the IT-L1 link made it difficult to resolve the P-wave, but the following seismic perturbations were clearly visible.

The detection sensitivity of a terrestrial optical fiber link, like for seismometers, is primarily limited by surrounding man-made noise in the frequency range of interest for earthquake detection (0.1 to 20 Hz). We expected substantially lower background noise per unit length over submarine optical links. We conducted metrology experiments with an ultra-stable laser source on a submarine link (IT-L2) in September 2017. During a two-day measurement campaign on the 96.4 km-long submarine cable between Malta and Sicily we detected a local magnitude (ML) 3.4

earthquake, with an epicenter 89 km away in the Malta Sea (Fig. 3A). We measured the optical phase perturbation and compared it to the displacement recorded by seismometers located within a few km of each end of the fiber link (MN.WDD, Malta and IV.HPAC, Sicily) (Fig. 3A). We observed a delay of approximately 2 s between the P-wave detected by the link and the MN.WDD station. This delay is consistent with the travel distance between seismometer and the Malta end of the fiber link at a speed of approximately 5 km/s, which we calculated from the delay observed between the MN.WDD and IV.HPAC seismograms. We clearly identified both P and S waves. We measured the root mean square (RMS) level of environmental noise of the IT-L2 submarine link to be 8 and 5 times lower than the UK-L1 and UK-L2 links respectively in the frequency range 0.1 to 20 Hz. In coastal areas the ambient noise in this frequency range arises primarily from commercial shipping, local wind-sea, wave-wave and wave-shore interactions. We expect a quieter environment for cables resting on the ocean floor in much deeper waters (~kms) than the shallow depth (200 m) of the busy Malta-Sicily channel, allowing the detection of low magnitude earthquakes on substantially longer links than IT-L2 (24). Submarine cables cross several seismically active areas, such as the North and Mid-Atlantic ridge, the South American, North American, and African Plates triple junction. Seismic monitoring of all these areas relies almost entirely on land-based seismic stations. Earthquakes of magnitude lower than 4 are largely undetected as they are too weak by the time they reach seismometers on the nearest island or mainland. Such earthquakes typically are detected only up to a few hundred km from the epicenter, and would affect a relatively small fraction of the submarine fiber links. A similar scenario, on a smaller scale, occurred for detection of the Mw 4.4 Parma (Italy) earthquake on the IT-L1 link in November 2017 that was also detected by nearby seismometers (Fig 3B). Here, the epicenter was 25 km away from the nearest section of the 535 km-long fiber link. We identified the time of arrival of the detected seismic wave, which corresponded to the smaller distance between the

epicenter and the fiber link. This also leads to the highest amplitude of the detected signal, as confirmed by the seismometer traces.

We can determine the point at which the seismic wave reaches a fiber link by transmitting the laser light in both directions in a standard telecommunication fiber pair, as depicted (Fig 4A). We can measure the distance travelled along the fiber by cross-correlating the seismic signals recorded at each end of the link with a high-speed phase sampler. We used this technique in the laboratory to demonstrate proof-of-concept where we were able to identify the location of an environmental perturbation to within 1 km over 101 km of spooled fiber (24). Two fiber links, following different paths, allows determination of the epicenter (Fig. 4B). The exact route of each fiber, required to calculate the epicenter, is normally known to within 1 km. We note that two line sensors (the links) eliminate the need for a third node to triangulate, as traditional seismology requires. Additional links improve the epicenter location accuracy and enable depth to be determined.

In contrast to seismometers, optical fibers are sensitive to seismic perturbations over their entire length rather than at a single point in space. The detected signal will be the result of integration of these perturbations. However, for earthquakes whose epicenter is at a distance shorter than the length of the link (as it would be in the case of small local earthquakes detected by trans-oceanic links), sections of the fiber far from the first point of contact of the seismic wave with the link will not contribute significantly to the detected signal, owing to the attenuation of the seismic wave with distance (this can be inferred, for example, from the seismometer traces in Fig. 3B for the Parma earthquake). The arrival time of the P-wave, one of the most important parameters for earthquake characterization, is not significantly affected by the distributed nature of the detection as only a small section of the link is perturbed upon its arrival. Also unlike seismometers, the signal detected using optical fiber links is the result of the superimposition of perturbations along the

three components of motion. Whilst the resulting signal from the distributed detection with optical fiber might require different analysis than that performed on seismometer data, crucial information can still be extracted and can prove invaluable from locations where no data can currently be obtained otherwise.

We used several optical fiber installations to detect local earthquakes and teleseisms, along with presenting a strategy for using the existing submarine telecommunications optical fiber infrastructure. Using existing cables should provide a cost-effective complement to ocean bottom seismometers and further advance our understanding of the dynamic behavior of Earth's interior. The phase stability of metrology-grade lasers is sufficient to enable coherent measurements over fiber lengths well beyond 10,000 km, enabling measurement on trans-oceanic links. Fiber optic earthquake detection may be the preferred option for more remote areas like the Arctic sea (25). We anticipate that submarine fiber networks could also be used for applications beyond seismic monitoring, from marine mammal migration tracking (26) to sea noise pollution monitoring, a growing matter of concern world-wide for its impact on marine life (27,28).

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Acknowledgements: We thank Prof. Massimo Inguscio, President of the Consiglio Nazionale delle Ricerche (CNR), for supporting and encouraging the Italy-Malta experiment. We thank Helen Margolis (NPL), Salvatore Micalizio (INRIM) and Prof. Pauline Galea (University of Malta) for fruitful discussions.

Funding: The UK side of this work was funded by the Department for Business, Energy and Industrial Strategy (BEIS) as part of the UK National Measurement System program. The UK-L1 fiber link was funded by the UK Space Agency. INRIM acknowledges for funding the Italian

Ministry of Education, University and Research (MIUR) through the Progetti Premiali 2014 and 2015 programs (LABMED and METGESP projects). We acknowledge funding by the Research, Innovation and Development Trust of the University of Malta. The British Geological Survey contribution to this work is funded by the Natural Environment Research Council (NERC). We are very grateful to Melita Limited and Enemalta plc for providing us with access to the submarine fiber links. The facilities of IRIS Data Services, and specifically the IRIS Data Management Center, were used for access to waveforms, related metadata, and/or derived products used in this study. IRIS Data Services are funded through the Seismological Facilities for the Advancement of Geoscience and EarthScope (SAGE) Proposal of the National Science Foundation under Cooperative Agreement EAR-1261681.

Author contributions: G.M planned, designed and conducted the experiments on the UK links and prepared the first draft of the manuscript. R.L. and B.B. analysed the seismic data and provided seismology expertise. D.C. and F.L. planned and designed the experiments on IT-L1 and IT-L2. C.C., A.M., F.L. and A.T. conducted the experiments on the IT-L1 link. C.C., D.C., A. X., and A.T. conducted the experiments on the IT-L2 link. L.W. developed the analytical tools. J.K. set up the UK-L2 link. S.R. provided underwater acoustic expertise. All authors contributed extensively to the discussion, interpretation of the data and manuscript preparation.

Competing interests: We declare no competing interests.

Data and materials availability: Optical phase data is available in the supplementary materials.

Supplementary Materials content

Materials and Methods

Figs. S1 to S5

Table S6

Optical phase data

References only called in the SM: 29 to 39.

Fig. 1. (A) Submarine telecommunication cable map. Illustration of the existing and planned submarine telecommunication infrastructure. Optical frequency metrology techniques enable these fiber links to be used for the detection of earthquakes at the bottom of seas and oceans. Map data © [OpenStreetMap](#) contributors; Cable data: TeleGeography's Telecom Resources licensed under [Creative Commons Share alike](#). **(B)** Illustration of the optical setup used in our experiments for measuring the seismically-induced perturbation of the optical signal travelling in the fiber. The same principle was used for terrestrial and submarine fiber links (only the latter case is illustrated in the figure). ULE: ultra-low expansion glass used to stabilize the laser frequency.

Fig. 2. Tele-seismic events on terrestrial optical links. **(A)** Comparison between the seismically-induced optical phase changes detected on the UK-L1 link and the signal from a seismometer in Swindon (GB.SWN1) for the Central Italy earthquake on 30th October 2016. **(B)** Comparison between the phase changes detected on the IT-L1 and UK-L2 link with the signals from seismometers in Monterenzio (MN.MTRZ) and Herstmonceux (GB.HMNX) for the Iraq border earthquake on 12th November 2017. The north-south component has been used for all seismic station data.

Fig. 3. Small magnitude earthquakes on submarine and terrestrial link. **(A)** Seismic wave detected on the submarine IT-L2 link for the Malta Sea earthquake on 2nd September 2017

compared with signals from seismometers located within a few km of each end of the link. High pass filtering at 1.5 Hz has been applied to the optical signal to suppress a strong environmentally-induced 1 Hz component that was present on the optical signal. The same filter has been applied on the signals from seismic station. **(B)** Comparison between the phase changes detected on IT-L1 link and seismometers located close to four intermediate points along the link for the Parma earthquake on 19th November 2017. The north-south component has been used for all seismic station data.

Figure 4 | Seismic event localization techniques. **(A)** Because of the finite propagation speed of the light in the fiber ($\sim 2 \times 10^8$ m/s) seismically-induced optical phase perturbations will reach the two ends of a bidirectional fiber link at different times. The location on the optical fiber link at which the seismic wave first reaches it can be determined by calculating the delay difference by cross-correlating the received signals. Earthquakes located on either side of the link and along the perpendicular to the link axis will result in the same identified location along the fiber. By using two links the location ambiguity can be resolved. **(B)**, Localization of the epicenter using two bidirectional fiber links. Simple geometry allows the coordinates of the epicenter to be found from the location of the point of first contact of the seismic wave along the fiber. TX: ultra-stable laser injecting light into the fiber. RX: optical detection and phase comparison unit. This unit measures the optical phase difference between the light generated by the local TX laser and that transmitted through the fiber link by the remote TX laser.



Supplementary Materials for

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Materials and Methods

1. The laser source

Narrow-linewidth continuous-wave telecommunication lasers (1530 to 1560 nm) phase locked to a hydrogen maser-referenced optical frequency comb were used in the measurement shown in Fig. 2A and to ultra-low-expansion (ULE) glass Fabry-Perot cavities (22) in all the other measurements shown. The fractional frequency stabilities of these lasers at 1 s averaging time are parts in 10^{13} and 10^{15} respectively. A fiber-laser phase-locked to a transportable ULE cavity was used for the measurements on the submarine link.

2. Optical links

Each optical link used in the experiment consists of a pair of standard telecommunication optical fibers with a fully optical path between the transmitting and receiving end. Because of the slow period of the seismic wave with respect to the propagation time of the light in the fiber and the proximity of the two fibers used for each direction of propagation (which run together in the same cable for the whole length), the effect on the optical signal is identical for the purpose of earthquake detection and localization. Unidirectional or bidirectional Erbium-doped fiber amplifiers (EDFAs) were used every 70-100 km for optical signal amplification on terrestrial links. These are installed in data centers or fiber huts along the link. Phase changes induced by the EDFA are negligible when compared to seismically-induced changes. All terrestrial links are installed in underground ducts. IT-L2 is buried approximately 1 m below the seafloor with no ducting. Digital data transfer signals were present on other channels for the UK-L1 and part of the IT-L1 links, whilst no additional signals were present on the other links. For UK-L1, UK-L2 and IT-L2 the laser light is injected in one of two fibers of the pair and returned on the other. For IT-L1 the laser light is retro-reflected in the same fiber; on this link, an acousto-optic modulator (AOM) at Medicina shifts the frequency of the incoming light before it is reflected. In this way the reflected signal can be distinguished from spurious localized reflections arising from interconnections along the link as well as distributed Rayleigh scattering.

3. Optical phase detection

The light from the laser source is injected into the fiber link and returns to the laboratory after a round-trip. A portion of the injected light is frequency shifted (by a few tens of MHz) with an acousto-optic modulator (AOM) and combined with the returned light on a photodiode. A radio-frequency (RF) signal at the AOM frequency is generated at the output of the photodiode by interference of the two optical signals. The phase changes of the RF signal, which arise from accumulated optical phase changes of the light travelling in the fiber link, are measured with a digital phase meter with a sample rate of up to 500 samples/s.

4. Perturbation localization test in the laboratory

The light from a ULE cavity-stabilized laser is injected, using an optical power splitter, in both directions in a 101 km-long loop, formed by two 50 km and one 1 km-long fiber spools, and an acousto-optic modulator (80 MHz). For each direction of travel the optical signal at the output of the link is combined with the light available directly at the output of the laser and an 80 MHz interferometric signal is obtained with a photodiode. This signal is down-converted to 100 kHz, sampled at 2.5 Msamples/s and its phase is extracted by I/Q demodulation. An environmental perturbation is created by tapping on the 1 km spool or by frequency modulating the radio frequency signal driving the AOM. By performing the cross-correlation of the two interferometric signals, the difference in travel time for the two directions of propagation of the perturbation is extracted. From this delay the location of the perturbation can be calculated by multiplying the delay by the speed of light in the fiber $v=c/n$, where c is the speed of light in vacuum and $n=1.4862$ is the index of refraction of standard telecommunication fiber. Variations of the index of refraction due to temperature changes are negligible when compared to uncertainty of the position of the cable on the sea bed, which is usually known within 1 km.

In Fig. S1 the perturbations imposed by tapping the 1 km spool are shown and the correlation coefficient is shown in Fig. S2.

5. Epicenter localization principle on an installed submarine fiber link

A standard telecommunication fiber link consists of a fiber pair, one for each direction of propagation of the light. Unidirectional optical amplifiers are used along the link on each of the fiber pair as shown in Fig. S4. At each end of a fiber link, the light from a ULE cavity-stabilized laser is injected into the optical link (Fig. S5). At the remote end, for each direction of propagation, the light that has travelled through the fiber is combined with that from the local ULE cavity-stabilized laser. An interferometric signal is produced on a photodiode at both ends of the fiber and acquired at a fast sampling rate with a Universal Coordinated Time (UTC)-referenced analog-to-digital converter. The location of the perturbation along the fiber is extracted using the method described in the perturbation localization test. Synchronization of the converter's time base to UTC at the 100 ns level can be achieved with GPS-disciplined oscillators at either end of the fiber. Alternatively a single GPS-disciplined oscillator can be used at one end of the fiber and UTC time can be transferred to the other end using time transfer techniques (29).

6. Filter parameters

The signals from optical detection and seismometers were filtered as described in Tab. S1.

7. Deep sea noise

Noise in the frequency range of interest for earthquake detection (0.1 to 20 Hz) arises primarily from surface wind-sea and wave-wave interaction (30) and commercial shipping (31). Whilst low frequencies propagate with little attenuation in the SOund Fixing And Ranging channel (SOFAR), attenuation is expected and has been observed below the sea surface conjugate depth (the depth where the sound speed equals the speed at the surface). In a recent

experiment Berger et al. show that spectral components between 2 and 35 Hz were attenuated by a factor of up to 100 between 4081 m and 5036 m water depth in the eastern North Pacific, at a height above the sea bottom of 967 and 12 m respectively (32). Measurements of different areas of the oceans show that noise levels can differ substantially depending on shipping density. For example, measurements of the South Pacific ocean noise has been reported to be 10 to 20 dB lower than the North Pacific (33).

Noise in the 0.1 to 2 Hz range arises primarily from short-period double-frequency (SPDF) wave-wave interactions (Longuet-Higgins effect). This noise is highly correlated with local wind speed and changes in wind direction and does not propagate well along the ocean floor (34). Substantial differences in the level of noise were found between different oceanic areas (35). Interestingly, because of ice preventing the formation of ocean waves, the Arctic exhibits levels of microseism noise 30 to 40 dB lower than the Pacific seafloor (this makes optical fiber -based earthquake detections an attractive solution for seismic events of small magnitude in this remote part of the world) (25). In coastal waters very low frequencies from the open ocean do not propagate well into due to the low frequency cut off effect of the shallow water waveguide (30). Therefore, acoustic signals at such low frequencies must be generated from local sources, for example local microseism effects due to wave interactions, local seismic events (some of which may be onshore) and anthropogenic activity. The level of low frequency noise (>1 Hz) in shallow waters has been shown to change dramatically (up to 30 dB) depending on the specific coast and the areas of coastal shelf considered (36,37). However, there is not enough data in the very low frequency range (0.1 Hz to 2 Hz) for a suitable number of coastal and deep sea locations to enable a conclusive comparison.

Because the noise in the 0.1 to 20 Hz range in deep sea arises from local perturbations such as wind and storms, we can expect the noise to be uncorrelated over long distances across the ocean floor. Indeed, the correlation of ambient noise was found to drop quickly over distances larger than several hundred meters (38). For spatially uncorrelated perturbations the level of environmentally-induced RMS noise in an optical fiber scales with the length L of the fiber link as $L^{1/2}$ (39). If we assume that the same level of environmental noise per-unit-length is found on the deep seabed and the IT-L2 link, a 5,000 km-long trans-Atlantic link would only be 7 times noisier overall. However, following the considerations outlined above on shipping density, sound attenuation and the local nature of several noise sources, this might be a conservative estimation and links resting in deep sea could exhibit a much lower level of noise per-unit-length. Thus, much longer links in deep waters and away from coastal noise could potentially exhibit an overall level of noise (in the 0.1 to 20 Hz range) similar to the link used in our experiments, which have been shown to detect small earthquakes with a sufficient signal-to-noise ratio. For example, if the noise per-unit-length N_{TO} of a transoceanic 5,000 km-long cable resting on the sea bed was found to be 7 times quieter than that of the IT-L2 link ($N_{TO} = N_{IT-L2}/7$), the overall noise N_{5000} would be the same as IT-L2:

$$N_{5000} = N_{IT-L2}/7 * (5,000 \text{ km} / 96.4 \text{ km})^{1/2} \approx N_{IT-L2}$$

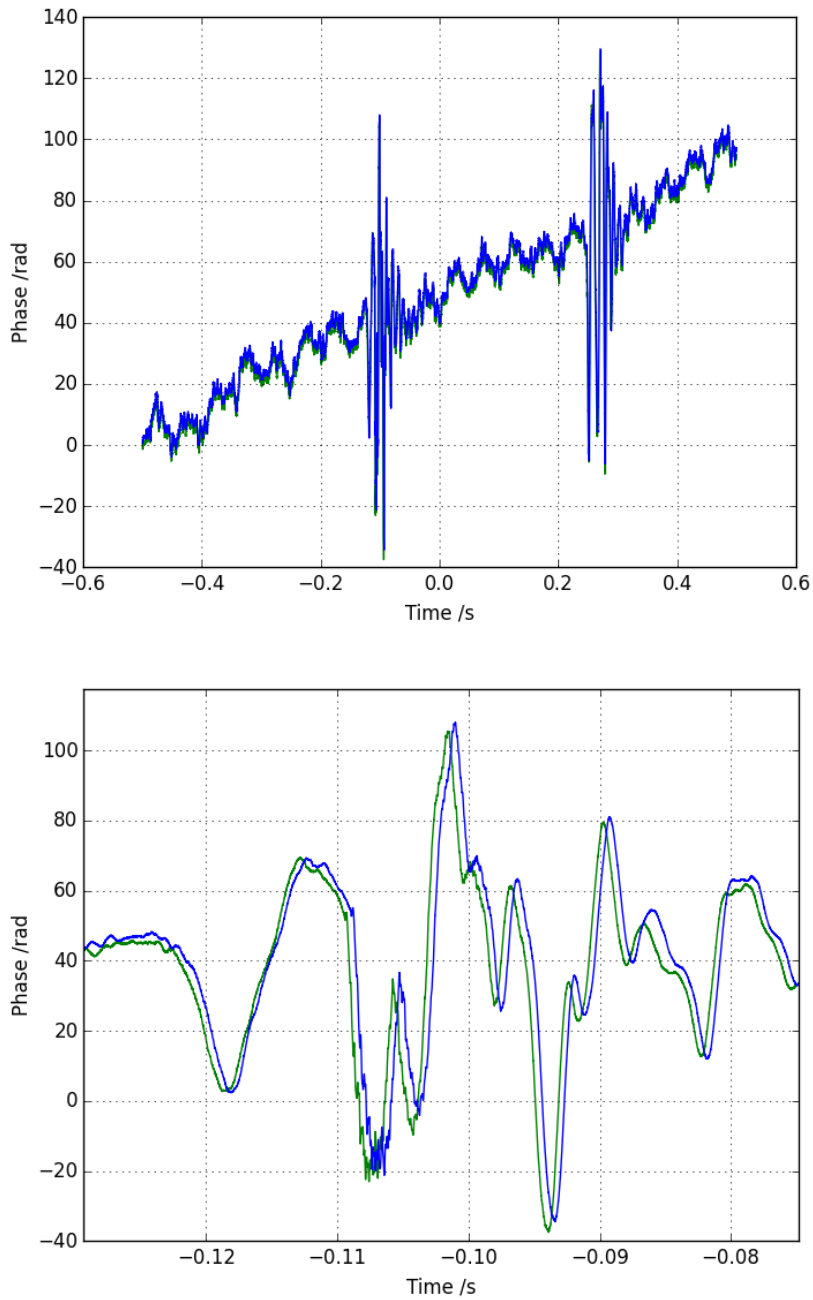


Fig. S1. Optical phase perturbation in a bidirectional link. Top: Optical phase perturbation achieved by tapping a 1 km spool. Bottom: the flight time difference between the two directions of propagation is visible by zooming in in the optical phase perturbation.

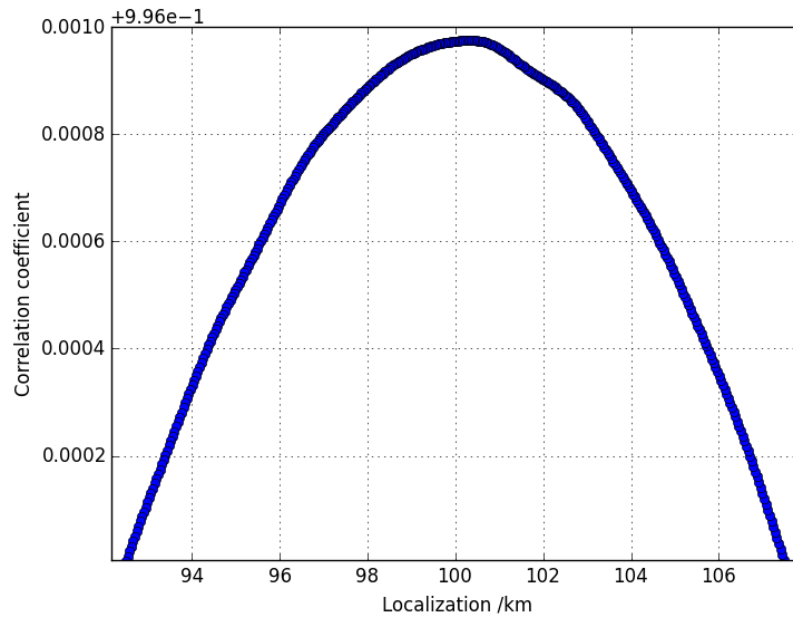


Fig. S2. Correlation of counter propagating signals. Correlation of the optical phase perturbation detected at each end of the link, showing a maximum at 101 km, corresponding to the position of the 1 km spool in the bidirectional link.

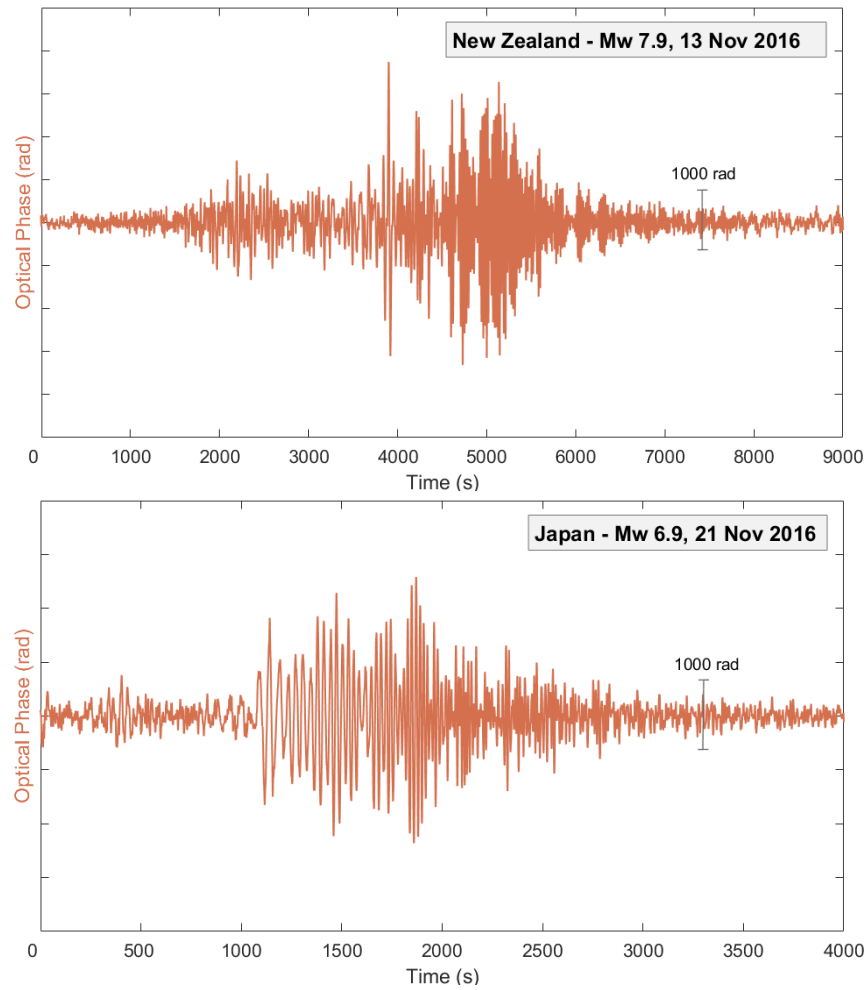


Fig. S3. Tele-seismic detections. Earthquakes in New Zealand (Mw 7.9, 13 Nov 2016, epicenter distance 18,500 km) and Japan (Mw 6.9, 21 Nov 2016, epicenter distance 9,400 km) as detected by the UK-L1 link in late 2016.

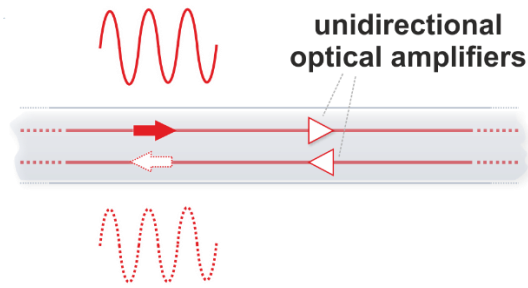


Fig. S4. Illustration of a typical telecommunication optical fiber link. Each link consists of a fiber pair, one for each direction of propagation of the light. Optical amplifiers are commonly used to compensate the attenuation of the optical signal, due to the fiber losses, for link lengths longer than 70-100 km.

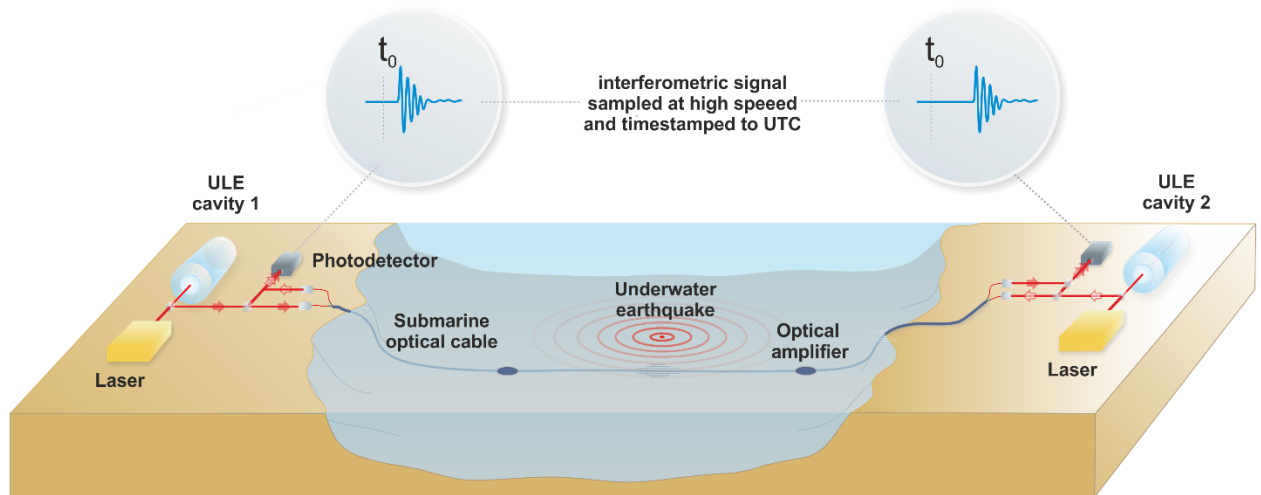


Fig. S5. Illustration of the epicenter location principle. Light from the ULE cavity-stabilized lasers is propagated in both directions in the fiber. The time of arrival of the optical perturbation at each end of the fiber link is referenced to UTC.

Earthquake	High pass (Hz)	Low pass (Hz)
Central Italy	0.05	0.5 (only seismometer data)
Iraq/Iran border	0.05	0.5
Malta	1.5	5
Parma	0.35	30

Table S6. Filtering parameters. Corner frequencies for the low and high pass filters used on the optical and seismometer data. For the Central Italy earthquake the optical phase sampling rate was 1Hz so no low pass filtering was applied.